

## SURRENDER REQUEST FORM

### International Investment Plans and International Pension Plans

#### 1 YOUR DETAILS

1 Policy number

2 Full name

3 Residential Address  
and postcode

4 Country of residence

5 Email

6 Contact phone no.

7 Date of birth

		/			/				
--	--	---	--	--	---	--	--	--	--

8 Nationality

9 Occupation

## 2 SURRENDER OPTIONS

### 2a. Full surrender

Yes - Proceed with the full surrender immediately

### 2b. Partial surrender

I/We wish to withdraw

\$

Do you wish to proceed with the part surrender immediately?

>Yes, proceed with the partial surrender immediately

>No, proceed on or shortly after, add date

		/			/		
--	--	---	--	--	---	--	--

### 2c. Regular withdrawal (minimum of \$100)

I/We wish to regularly withdraw:

Amount	Frequency*	Starting from							
\$				/			/		

\* Frequency can be monthly, quarterly, half yearly or annually.

**DETAILS OF ORIGINAL INVESTMENT** (complete attached form if more than one investment)

**3** *Note this relates to where the original premiums/investment came from, not where the proceeds are to be paid.*

**Source of funds (account from where premiums / investment have been paid)**

Name of account holder

Sort code

--	--	--	--	--	--

Account number

--	--	--	--	--	--	--	--

I am the policyholder and the information shown is to the best of my knowledge

I am not the policyholder and do not have this information

**Source of wealth, please tick the relevant box(es)**

Salary

Inheritance

Capital accumulation from savings out of income

Sale of property

Family gift

Proceeds from another investment (details must be provided)

Other

I am not the policyholder and do not have this information

If 'Proceeds from other investment' or 'Other' has been ticked, please provide details below:

---

*We may require information in addition to the above. If so you will be advised*

## 4 ADDITIONAL CUSTOMER DETAILS

If you are not the sole owner of the policy we will also need the details from the additional owners in order to verify them. Please print full names of the other legal owners i.e. the;

- executors of the estate
- surviving grantee(s) named in the policy
- trustees (if under trust)
- assignees (if the policy has been assigned)

If there are more than three please provide these additional details separately.

Claimants	Second	Third	Fourth
Full Name			
Date of Birth			
Occupation			
Nationality			
Residential address and Postcode			
Telephone number			
Email address			

If you live in the UK, we may be able to check your identity electronically using a system provided by a credit reference agency. The agency will keep a record of our search however the search will not affect your credit rating. If we are unable to verify your identity electronically, we will ask you to provide further identity verification documents.

## 5 PAYMENT INSTRUCTIONS

Please note that we do not pay to third parties. Please ensure your IBAN and BIC codes are provided.

Name of account holder

IBAN number

BIC code

Bank/Building Society name

Bank/Building Society Address and post code

Sort code

--	--	--	--	--	--

Account number

--	--	--	--	--	--	--	--

Building Society ref (if applicable)

## 6 EVIDENCE OF IDENTITY

### Background

Money Laundering Regulations require financial services firms to have procedures in place to prevent financial crime. The requirements apply equally to new customers and those customers who may have invested with a financial services firm for many years.

### Requirements

We need to get enough information to establish that you are who you say you are and you live where you say you live. This will help us to ensure nobody but you is using your identity to make payments into or withdraw monies from your products with us.

Evidence may be required from the grantee and from other parties associated to the policy.

Although clients may find Money Laundering Regulations inconvenient, it is worth noting the regulations are aimed at consumer protection, and the maximum penalties for firms or individuals who fail to apply them are severe.

### Next steps

If we require you to provide us with evidence of identity before we make payment, we will contact you under separate cover.

## 7 DECLARATION - to be signed by the claimant(s)

- i. To the best of my/our knowledge and belief all the statements made herein are true and complete.
- ii. I/We elect for the payment selected in Section 2 available under the Plan specified at the beginning of this form (the "Policy") in accordance with the following statements.
- iii. The instruction in this form is irrevocable.
- iv. I/We agree that the payment selected in Section 2 available under the Policy in accordance with its terms by you shall constitute a discharge of your obligation to make payments under the Policy.
- v. I/We agree to indemnify Utmost Life and Pensions against all demands, losses, liabilities, damages, costs and expenses (including legal fees) which may arise from Utmost acting on my/our instructions, including any claim from a third party in relation to the payment.
- vi. For the avoidance of doubt, this release shall not act so as to prohibit us from bringing any claim for any act or omission by you.

	<b>Print full name</b>	<b>Signature</b>	<b>Date</b>
First Claimant			
Second Claimant			
Third Claimant			
Fourth Claimant			

Please refer to our letter for further details about what other documents you may need to send us to complete this claim. Kindly send all documentation to us at the same time.

Missing information could result in the payment being delayed.

REST ASSURED

Calls may be recorded for training or monitoring purposes.

Contact us at: Walton Street, Aylesbury, Bucks, HP21 7QW Tel: +44 1296 386242 Fax: +44 1296 386243: [www.utmost.co.uk](http://www.utmost.co.uk)

Utmost Life and Pensions Limited is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. The firm is on the Financial Services Register, registration number 775704. Registered in England and Wales number 10559664. Utmost Life and Pensions Services Limited is registered in England and Wales number 10559966. Both have their registered office at: Walton Street, Aylesbury, Bucks, HP21 7QW.